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Zhang, Y.; Teo, K.H.; Palacios, T.

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### Abstract

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# GaN HEMTs with Multi-functional p-Diamond Back-barriers

Yuhao Zhang, Tomás Palacios

Dept. of Electrical Engineering & Computer Science  
Massachusetts Institute of Technology  
Cambridge, MA, USA  
[yhzhang@mit.edu](mailto:yhzhang@mit.edu), [tpalacios@mit.edu](mailto:tpalacios@mit.edu)

Koon Hoo Teo

Mitsubishi Electric Research Laboratories  
Cambridge, MA, USA  
[teo@merl.com](mailto:teo@merl.com)

**Abstract**—This work for the first time explores the use of p-diamond as multi-functional back-barriers in GaN high electron mobility transistors (HEMTs). A well-calibrated self-consistent electro-thermal simulation has revealed that multi-functional p-diamond back-barriers can improve the performance of HEMTs, by achieving over 3 times higher breakdown voltage ( $BV$ ), at least 30% higher thermal performance, enhanced 2DEG confinement and reduced short channel effects. These results indicate the great potential of the integration of GaN and diamond electronics for high-power and high-frequency applications.

**Keywords**—*p-type diamond; GaN HEMTs; back-barriers, power electronics*

## I. INTRODUCTION

GaN-based transistors and diodes are excellent candidates for high-voltage and high-frequency electronics. In particular, GaN high electron mobility transistors (HEMTs), which utilize a two-dimensional-electron-gas (2DEG) channel, have demonstrated excellent power and frequency performances [1]. However, applications such as radars for air traffic controller, satellites for broadcasting and high-power motors require an even higher power ( $\sim$ kW) at high-frequency (e.g. K-band), which are extremely challenging for GaN HEMTs to realize.

A promising method to further enhance the performances of GaN HEMTs is to incorporate diamond into GaN-based devices. As shown in Table I, diamond has  $\sim$ 3 times higher critical electric field ( $E_c$ ) and  $\sim$ 10 times higher thermal conductivity than GaN. In addition, there is another complementary property of diamond to GaN electronics: p-type doping in diamond can be well realized with a doping concentration as high as  $10^{18}$ - $10^{21}$   $\text{cm}^{-3}$  [2], free hole concentration over  $10^{20}$   $\text{cm}^{-3}$  [3] and a hole mobility of 300-600  $\text{cm}^2/\text{Vs}$  [2]. In contrast, the p-doping in GaN has a maximum hole concentration of  $10^{17}$ - $10^{18}$   $\text{cm}^{-3}$  and maximum hole mobility still below 30  $\text{cm}^2/\text{Vs}$  [4][5].

Recent progress in GaN and diamond growth has made the integration of diamond and GaN devices possible. GaN layers can be epitaxially grown on [6] or wafer-transferred [7][8] to

**TABLE I**  
MATERIAL PROPERTIES OF MAJOR SEMICONDUCTORS CONSIDERED FOR POWER AND MICROWAVE APPLICATIONS

	Si	GaAs	SiC	GaN	Diamond
$E_g$ (eV)	1.1	1.4	3.3	3.4	5.5
$\mu_e$ ( $\text{cm}^2/\text{Vs}$ )	1400	8000	1000	2000	2000-4500
$\mu_h$ ( $\text{cm}^2/\text{Vs}$ )	600	400	100	850	3000-4000
$E_c$ (MV/cm)	0.3	0.4	2.5	3.3	10
$k_T$ (W/cmK)	1.3	0.46	4.2	1.3-2	10-20
$\epsilon$	11.8	12.9	9.7	9	5.5

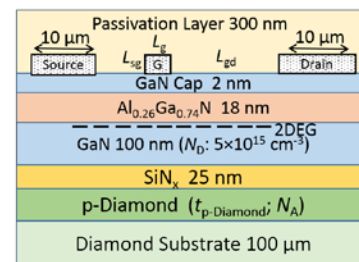
$E_g$ : bandgap;  $\mu_e, \mu_h$ : electron and hole mobility;  $E_c$ : critical electric field;  $k_T$ : thermal conductivity;  $\epsilon$ : dielectric constant.  $\mu_e$  of 2DEG used for GaN.

single-crystal [6] or polycrystalline [7][8] diamond substrates grown by chemical vapor deposition (CVD). Deposition of nanocrystalline diamond (NCD) coating has also been enabled to passivate GaN devices [9]. However, almost all current diamond and GaN integration merely focus on thermal management, which cannot take full advantage of the complementary properties of GaN and diamond.

In this work, we propose to incorporate diamond, as a multifunctional back-barrier, into GaN-based power and microwave devices for the first time. Electro-thermal simulation has demonstrated p-diamond capability in enhancing breakdown voltage, thermal performance and 2DEG confinement for GaN HEMTs.

## II. SCHEMATIC STRUCTURE AND SIMULATION MODELS

The schematic of the GaN-on-diamond HEMT with a p-



Power Device:  $L_{sg}=1.5$   $\mu\text{m}$ ;  $L_g=2$   $\mu\text{m}$ ;  $L_{gd}=10$   $\mu\text{m}$   
Microwave Device:  $L_{sg}=0.2$   $\mu\text{m}$ ;  $L_g+L_{gd}=1.7$   $\mu\text{m}$ ;  $L_g=50$ -200 nm

Fig. 1. Schematic structure of a GaN-on-diamond HEMT with a p-diamond back-barrier. Two sets of source-to-gate distance ( $L_{sg}$ ), gate length ( $L_g$ ) and gate-to-drain distance ( $L_{gd}$ ) are selected to simulate power and microwave devices.

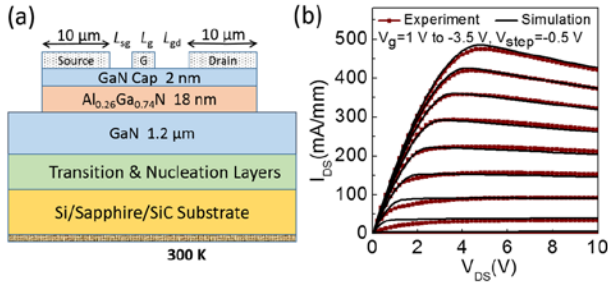


Fig. 2. (a) Schematic of the fabricated AlGaN/GaN HEMT structures on Si/Sapphire/SiC substrates that were used for simulation model calibration. (b) Comparison between simulation and experimental dc output characteristics for a single-finger GaN-on-Si HEMT.

diamond back-barrier is shown in Fig. 1. The AlGaN/GaN HEMT layers are based on the GaN-on-Si HEMTs fabricated at MIT [10][11]. The 2DEG density was revealed by Hall measurement as  $1.25 \times 10^{13} \text{ cm}^{-2}$ . The GaN-on-diamond wafer structure is based on the experimental demonstration reported in [7][8], where the GaN layers would be extracted from epitaxial GaN-on-Si wafers, followed by the CVD growth of p-diamond back-barrier and 100  $\mu\text{m}$  diamond substrate on top of a  $\sim 25 \text{ nm}$  intermediate  $\text{SiN}_x$  dielectric layer. The p-diamond back-barrier thickness and doping concentration are denoted as  $t_{\text{p-Diamond}}$  and  $N_A$ , respectively.

The self-consistent electro-thermal simulations were performed using the Silvaco ATLAS simulator, based on the models previously developed for GaN HEMTs at MIT [10]. The thermal conductivity of GaN and CVD-grown polycrystalline diamond was set as 1.8 W/cm·K and 15 W/cm·K [7], with a temperature dependence model described in [10]. Both NCD (10 W/cm·K [12]) and  $\text{SiN}_x$  (0.2 W/cm·K) are considered for device passivation. An effective thermal conductivity of 0.01 W/cm·K for  $\text{SiN}_x$  transitional dielectrics was calculated from the reported thermal boundary resistance in GaN-on-diamond structures [7]. The simulation models were calibrated by utilizing the fabricated HEMTs on Si substrates (Fig. 2).

### III. HEMTs PERFORMANCE ENHANCEMENTS

#### A. Breakdown Voltage Enhancement

The insertion of p-diamond back-barrier can enhance device breakdown voltage (BV) by forming a reduced surface field (RESURF) structure. The p-diamond/n-GaN junction below the 2DEG channel can deplete the channel by a vertical electric field (E-field) at off-state, and thus spread the horizontal E-field. As shown in Fig. 3, the p-diamond/n-GaN junction greatly reduces the E-field peak at the gate edge and enables an almost uniform E-field distribution in GaN and diamond between gate and drain.

The RESURF design principle for HEMTs is to completely deplete the 2DEG charge by the p-n junction at breakdown. Dependence of device BV on p-diamond thickness  $t$  and acceptor ( $N_A$ ) concentration was studied. As shown in Fig. 4, a maximum BV of  $\sim 1.9 \text{ kV}$  can be achieved, which is more than

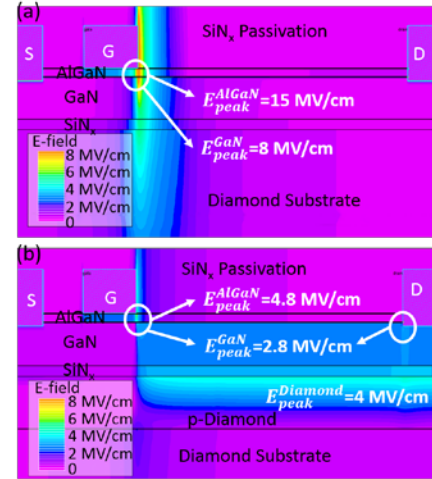


Fig. 3. Simulated electric field distribution in GaN-on-diamond HEMTs (a) without and (b) with a p-diamond back-barrier, both at an off-state bias of  $V_{GS} = -5 \text{ V}$  and  $V_{DS} = 1250 \text{ V}$ .

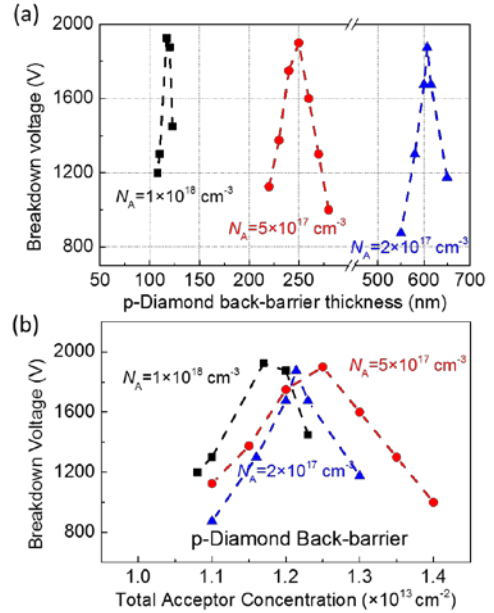


Fig. 4. (a) Breakdown voltage dependence on p-diamond back-barrier thickness at three different p-diamond doping levels; (b) Breakdown voltage dependence on total acceptor concentration for p-diamond back-barrier with different doping levels and thickness.

3 times of the BV of standard HEMTs ( $\sim 500 \text{ V}$ ) (all with  $L_{gd} = 10 \mu\text{m}$ ). In addition, the high BV can be achieved by different  $N_A$ , with different optimized  $t$  correspondingly. All these optimized  $N_A$  and  $t$  correspond to the similar total charge density ( $N_A \cdot t$ ) equivalent to the 2DEG density, showing the strong charge balance effect for complete depletion. This charge balance relationship has provided a great flexibility in selecting  $N_A$  and its corresponding optimized  $t$  for experimental demonstration.

#### B. Thermal Performance Enhancement

From the simulated lattice temperature distribution shown in Fig. 5, it can be seen that the peak temperature  $T_{\text{peak}}$  locates at

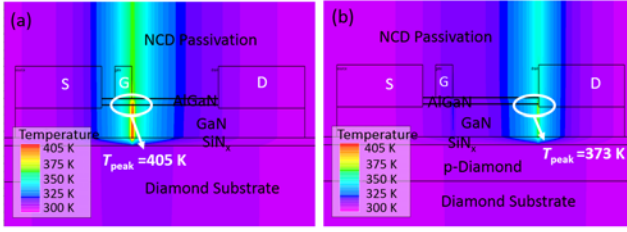


Fig. 5. Simulated lattice temperature distribution in GaN-on-diamond HEMTs (a) without and (b) with a p-diamond back-barrier, at a bias of  $V_{GS}=0$  V and  $V_{DS}=30$  V. The peak temperature and its location are denoted.

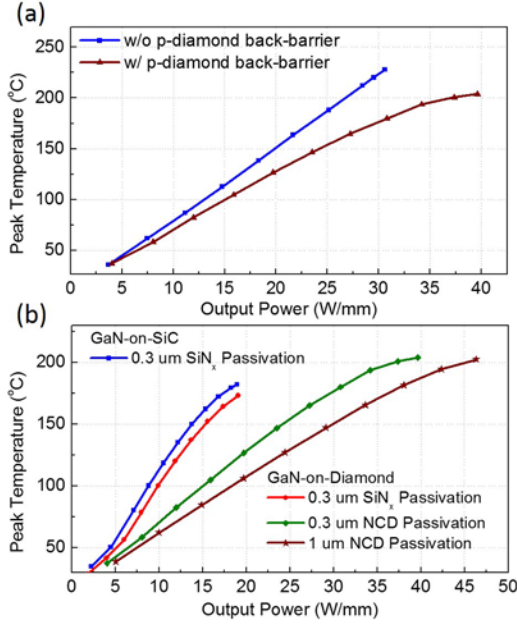


Fig. 6. Simulated peak temperature dependence on output power density for (a) GaN-on-diamond HEMTs with and without a p-diamond back-barrier. (b) GaN-on-SiC HEMTs with  $0.3 \mu\text{m}$   $\text{SiN}_x$  passivation, and GaN-on-diamond HEMTs with  $0.3 \mu\text{m}$   $\text{SiN}_x$ ,  $0.3 \mu\text{m}$  NCD and  $1 \mu\text{m}$  NCD passivation on top of device.

the gate edge in standard GaN HEMTs and at the drain edge in GaN HEMTs with a p-diamond back-barrier, are the result of the combination of high E-field and high current density [10] at each location. In addition, a lower  $T_{\text{peak}}$  is observed in GaN HEMTs with a p-diamond back-barrier at the same bias, due to the E-field relaxation by p-diamond back-barrier.

Power *v.s.*  $T_{\text{peak}}$  dependence was simulated to present and compare device thermal performance [10], as the device peak temperature limit (e.g.  $150^\circ\text{C}$  or  $200^\circ\text{C}$ ) determines the device maximum allowable power dissipation. As shown in Fig. 6 (a),  $\sim 23\%$  higher power density can be achieved in GaN HEMTs with p-diamond back-barriers compared to standard GaN-on-diamond HEMTs.

The influence of the layer structure on the thermal performance was also studied. As shown in Fig. 6 (b), for  $T_{\text{peak}}=150^\circ\text{C}$ , although the thermal conductivity of polycrystalline diamond is almost 4 times that of SiC, only  $\sim 15\%$  higher power density was achieved in GaN-on-diamond than GaN-on-SiC. This is due to the large thermal boundary resistance of the intermediate dielectric layer used between diamond and GaN. However, if the surface passivation

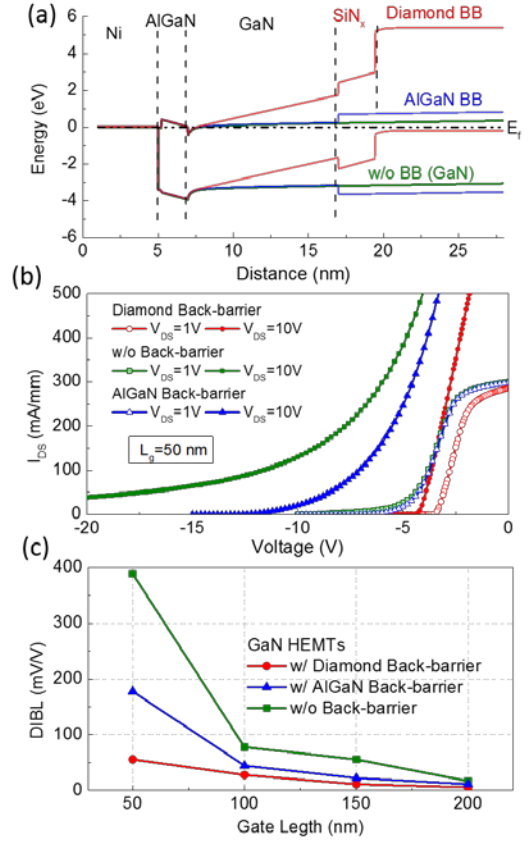


Fig. 8. Simulated (a) band-diagram and (b) transfer characteristics of GaN HEMTs without back-barrier (BB), with a p-diamond BB and with an AlGaN BB. (c) calculated DIBL of the three devices for the gate length of 50, 100, 150 and 200 nm. All the device simulated in this section utilized  $L_{\text{sg}}$ ,  $L_{\text{g}}$  and  $L_{\text{gd}}$  of the “microwave device” illustrated in Fig. 1.

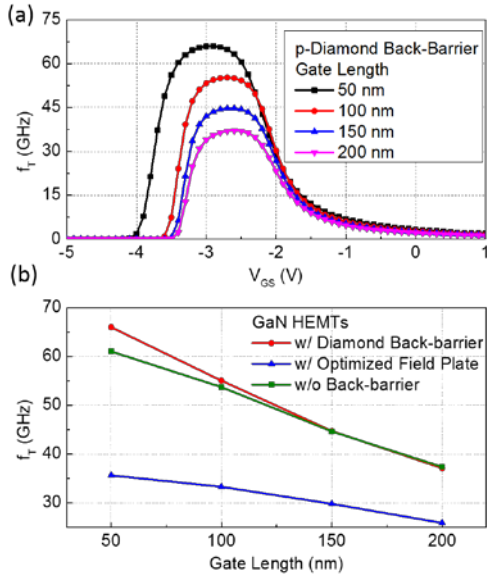
material changes from  $0.3 \mu\text{m}$   $\text{SiN}_x$  to  $0.3 \mu\text{m}$  NCD, a  $\sim 50\%$  power density increase can be achieved. If the thickness of NCD passivation increases from  $0.3 \mu\text{m}$  to  $1 \mu\text{m}$ , a power density over  $30 \text{ W/mm}^2$ , more than two times that of GaN-on-SiC, can be achieved for  $T_{\text{peak}}=150^\circ\text{C}$ . These results illustrate the great potential of NCD passivation in the thermal management of GaN power devices.

### C. High-frequency Performance Enhancement

In GaN-based microwave devices, the gate length is typically scaled down below 200 nm. The short gate length causes short-channel effects such as threshold-voltage ( $V_{\text{th}}$ ) shift, soft pinchoff and high sub-threshold current. A back-barrier structure with high bandgap (e.g. AlGaN [13]) or large polarization charges (e.g. InGaN [14]) has been proposed to reduce short-channel effects and enhance 2DEG confinement.

P-diamond back-barrier can also form a large potential barrier that opposes the movement of electrons from 2DEG towards buffer layers, as shown in the simulated band diagram (Fig. 7 (a)). Thanks to the larger bandgap of diamond than GaN and the p-doping, p-diamond can form a larger energy barrier compared to conventional AlGaN back-barrier, and will induce a much smaller  $V_{\text{th}}$  shift and a significant improvement in the subthreshold slope (Fig. 7 (b)). The





**Fig. 9:** (a) Simulated cut-off frequency  $f_T$  as a function of  $V_{GS}$  for GaN HEMTs with p-diamond back-barrier with 50, 100, 150 and 200 nm gate lengths. (b) Simulated peak cut-off frequency  $f_T$  of GaN-on-diamond HEMTs with and without p-diamond BB and with an optimized field plate structure. The AC simulation was conducted at  $f=1$  MHz. All the device simulated in this section utilized  $L_{sg}$ ,  $L_g$  and  $L_{gd}$  of the “microwave device” in Fig. 1.

enhanced suppression of  $V_{th}$  shift by p-diamond back-barrier is more remarkable for shorter gate and higher frequency devices, as shown in the simulated DIBL (defined as  $\Delta V_{th}/\Delta V_{DS}$ , and  $V_{DS}$  of 1 V and 10 V used in our simulation) as a function of gate length for GaN HEMTs with different back-barriers (Fig. 7 (c)).

Device transfer characteristics were then simulated in ac mode and transconductance  $g_m$ , gate capacitances  $C_{gd}$  and  $C_{gs}$  were extracted as a function of  $V_{GS}$ . The intrinsic cut-off frequency  $f_T$  was calculated by  $f_T = \frac{g_m}{2\pi(C_{gs}+C_{gd})}$  for each  $V_{GS}$  and the peak  $f_T$  was extracted (Fig. 8 (a)). As shown in Fig. 8 (b), a slightly higher  $f_T$  is observed in GaN HEMTs with p-diamond back-barriers. To further compare the  $BV \sim f_T$  trade-off for microwave devices, HEMTs with a gate field plate (FP) are also simulated, as FP is a widely-used method to increase  $BV$ . The FP geometry was optimized according to [16]. The FP increases the  $BV$  from  $\sim 100$  V to  $\sim 250$  V ( $L_{sg}$ ,  $L_g$  and  $L_{gd}$  shown in Fig. 1 for ‘microwave device’), but introduces additional gate capacitance [16] and greatly reduces the device  $f_T$  (Fig. 8 (b)). In contrast, GaN HEMTs with a p-diamond back-barrier, with a  $\sim 400$  V  $BV$  and  $>60$  GHz  $f_T$ , outperforms the HEMTs with and without a FP in both  $BV$  and  $f_T$ .

#### IV. CONCLUSION

In this work, we propose to insert p-diamond back-barriers into AlGaIn/GaN HEMTs. This new structure take advantage of the complementary electrical properties of diamond and GaN, and is based on recent progress in GaN-on-diamond growth technologies. Electro-thermal simulations have

demonstrated a large enhancement in the  $BV$ , thermal performance, 2DEG confinement and a reduction of short-channel effects. These results show the multiple functions of p-diamond back-barriers in the GaN HEMTs for high-power and high-frequency applications.

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